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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/604,875	08/22/2003	Dante Monteverde	35041/400300	1874
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SEYFARTH SHAW LLP 131 S. DEARBORN ST., SUITE2400			POLTORAK, PIOTR	
CHICAGO, IL	60603-5803 ART UNIT PA		PAPER NUMBER	
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Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

		MN
	Application No.	Applicant(s)
•	10/604,875	MONTEVERDE, DANTE
Office Action Summary	Examiner	Art Unit
	Peter Poltorak	2134
The MAILING DATE of this communication Period for Reply	appears on the cover sheet w	ith the correspondence address
A SHORTENED STATUTORY PERIOD FOR REWHICHEVER IS LONGER, FROM THE MAILING  - Extensions of time may be available under the provisions of 37 CF after SIX (6) MONTHS from the mailing date of this communication. If NO period for reply is specified above, the maximum statutory period for reply within the set or extended period for reply will, by standard patent term adjustment. See 37 CFR 1.704(b).	G DATE OF THIS COMMUNI R 1.136(a). In no event, however, may a n. eriod will apply and will expire SIX (6) MON tatute, cause the application to become A	CATION. reply be timely filed  NTHS from the mailing date of this communication. BANDONED (35 U.S.C. § 133).
Status		
1) Responsive to communication(s) filed on 1	0/09/07.	
2a) ☐ This action is <b>FINAL</b> . 2b) ☑		
3) Since this application is in condition for allo	owance except for formal mat	ters, prosecution as to the merits is
closed in accordance with the practice und	ler <i>Ex parte Quayle</i> , 1935 C.E	D. 11, 453 O.G. 213.
Disposition of Claims		
4)⊠ Claim(s) <u>1-17 and 19-49</u> is/are pending in	the application.	
4a) Of the above claim(s) is/are with	drawn from consideration.	
5) Claim(s) is/are allowed.		
6) Claim(s) 1-17 and 19-49 is/are rejected.	•	·
7) Claim(s) is/are objected to.		
8) Claim(s) are subject to restriction ar	nd/or election requirement.	
Application Papers		
9) The specification is objected to by the Exam	niner.	
10) The drawing(s) filed on is/are: a)		by the Examiner.
Applicant may not request that any objection to		
Replacement drawing sheet(s) including the co	rrection is required if the drawing	g(s) is objected to. See 37 CFR 1.121(d).
11) The oath or declaration is objected to by the	e Examiner. Note the attache	d Office Action or form PTO-152.
Priority under 35 U.S.C. § 119	1	•
12) Acknowledgment is made of a claim for fore	eign priority under 35 U.S.C.	§ 119(a)-(d) or (f).
`a) ☐ All b) ☐ Some * c) ☐ None of:		·
1. Certified copies of the priority docum	nents have been received.	•
2. Certified copies of the priority docum	nents have been received in A	Application No
3. Copies of the certified copies of the	priority documents have beer	received in this National Stage
application from the International Bu	reau (PCT Rule 17.2(a)).	
* See the attached detailed Office action for a	list of the certified copies not	received.
	•	•
Attachment(s)		
<ol> <li>Notice of References Cited (PTO-892)</li> <li>Notice of Draftsperson's Patent Drawing Review (PTO-948)</li> </ol>	· <del></del>	Summary (PTO-413) (s)/Mail Date

U.S. Patent and Trademark Office PTOL-326 (Rev. 08-06)

3) Information Disclosure Statement(s) (PTO/SB/08)

Paper No(s)/Mail Date \_\_\_\_\_.

5) Notice of Informal Patent Application

6) Other: \_\_\_\_\_.

### **DETAILED ACTION**

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 10/09/07 has been entered.

# Response to Amendment

- 2. Applicant's arguments are directed towards the newly introduced limitations. These arguments are addressed within this Office Action, below.
- 3. Claims 1-17 and 19-49 have been examined.

### Claim Objections

4. Claim 4 is objected to because of the following informalities: "an analytical result" should be "the analytical result".

Appropriate correction is required.

### Claim Rejections - 35 USC § 101

35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

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5. Claim 29 is directed towards a system for anticipating a trustworthiness. However, as it is clear from the specification, the claimed system is simply software. Thus, it is not "any new and useful process, machine, manufacture, or composition of matter" and, as a result, claim 29 is not directed towards the patentable subject matter.

Appropriate correction is required.

## Claim Rejections - 35 USC § 102

- (e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.
- 6. Claims 1-6, 10-11, 13-14, 30-34, 40, 42-44 and 47-48 are rejected under 35 U.S.C. 102(e) as being anticipated by Cofta (USPUB 2003/0030680). As per claims 1 and 30, Cofta discloses dynamically analyzing a site for predetermined criteria related to trustworthiness of Internet sites (e.g. [0022-0023] and [0056]), each of the criteria having a weigh point value, wherein, at least one of the criteria has a different weighted point value from another of the criteria (e.g. [0028]); determining amount of the criteria the site complies with, creating a trust score from the weighted point values of the criteria that the site complies with and creating an analytical result as an indicator of trustworthiness of the Internet site based on the trust score [0024-0028], communicating to an Internet user the analytical result [0026, Fig. 4E object 142, etc.).
- 7. As per claims 2-6, 10-11, 13-14, 31-34, 40 and 42-44 Cofta teaches that the analytical result includes awarding numerical points for each criterion the Internet

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site complies with ([0028]), providing a numerical representation of the trustworthiness of the Internet site or scaled gauge (e.g. [0026 or Fig. 4C-E with objects 122,132,142 respectively), determining if the Internet site is validated by an independent third party validating service and if it has a verified authentication certificate (e.g. [0055], Fig. 5 and associated text), wherein the Internet site supports secure Internet transactions (e.g. [0066]), communicating the analytical result to the Internet user independently of the Internet site (Fig. 6 and associated text).

8. As per claims 47-48, the analysis are completed based on the user selected/requested site and as Fig. 4C-D (and associated text) disclose there may be multiple sites requested by the user.

## Claim Rejections - 35 USC § 102 or 103

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 9. Claims 15-17, 19-20, 25-26, 28-29 and 45 are rejected under 35 U.S.C. 102(e) as anticipated by or, in the alternative, under 35 U.S.C. 103(a) as obvious over Cofta (USPUB 2003/0030680).

Cofta discloses incorporating the invention in the Internet browser (e.g. [0015] and Fig. 7) and although does not explicitly uses the term add-on Internet browser, Cofta discloses that the browser could be Netscape (e.g. Fig. 7 and [0019]) and an

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ordinary artisan would recognize that Netscape does not offer analyzing functionalities disclosed by Cofta's invention. Even if, Cofta's invention would not be offered as add-on in the Internet browser, including Internet browser add-ons would have been an obvious variation that is well known in the art of computer software (e.g. java applets). One would have been motivated to implement dynamic analyzes especially in light of the benefits of these analyzes as evidenced by their commercial success.

10. Claims 1 and 29-30 are rejected under 35 U.S.C. 102(b) as anticipated by or, in the alternative, under 35 U.S.C. 103(a) as obvious over Yang (USPN 7249380). Yang (USPN 7249380) discloses analyzing the site for predetermined criteria related to trustworthiness of Internet sites (e.g. a value P representing related to content of the site; a value Q representing to owner of the site and a value S representing relationships of the site and certificate authorities, col. 3 lines 13-27), each of the criteria having a weigh point value (the values P, Q and S are combined to calculate a total trust value for the site, col. 1 line 65-col.2 line 26, and each of these values have different weight based on the weight of the subvalues, col. 7 line 35 - col. 8 line 10. Note that additional pages also illustrate the values being derived from the subvalues), wherein, at least one of the criteria has a different weighted point value from another of the criteria; determining amount of the criteria the criteria the site complies with, creating a trust score from the weighted point values of the criteria that the site complies with and creating an analytical result as an indicator of trustworthiness of the Internet site based on the trust score (Total Trust Value, col.

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11 lines 9-52); and communicating to an Internet user the analytical result (col. 11 lines 48-52).

- 11. Yang (USPN 7249380) does not explicitly disclose that the analyzes are dynamic. However the limitation if not inherent, is at least implicit. Yang does not limits it's invention to static analyzes (e.g. a particular, previously completed site analyzes) but also, dynamic analyzes of content is an obvious variation that is well known in the art, and one would have been motivated to implement dynamic analyzes especially in light of the benefits of these analyzes as evidenced by their commercial success.
- 12. Yang also does not teach that at least one of the criteria has a different weighted point value from another of the criteria. However, clearly different criteria are calculated using various subvalues and it would have been obvious to one of ordinary skill in the art at the time of applicant's invention to allow for different weighted point values for different criteria given the benefit of more accurate analysis.

# Claim Rejections - 35 USC § 103

13. Claims 1-17, 20-49 are rejected under 35 U.S.C. 103(a) as obvious over Browseaccelerator (Jan 2002).

As per claims 1, Browseaccelerator anticipates a trustworthiness of an Internet site having content. In particular in "What it does" section, Browseaccelerator discloses "7 distinct advantages". For example, Browseaccelerator discloses that: "One-Click SiteDetails? helps you find the most trustworthy sites on the web. Just click on our logo when a web site appears in your browser, and you'll view site information,

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including: site age, email and postal addresses, popularity, last update, phone numbers and more. NEW! Now the details include web site traffic rankings."

This is a clear evidence of analyzing the site for predetermined criteria related to trustworthiness of Internet sites, determining an amount of the criteria the site complies with, creating an analytical result as an indicator of trustworthiness of the Internet site based on the amount of the criteria the site complies with, and communicating to an Internet user the analytical result.

Although, Browseaccelerator does not explicitly discloses that the site analyzing is dynamically obtained, not only Browseaccelerator clearly discloses that the invention offers the selection of "the finest web site for the job at hand" but also that the report uses 7MetaSearch.com, which is a search engine (see #2 of the sited section), and search engines dynamically analyze site.

Furthermore, even if Browseaccelerator was not to use dynamic analyzes, using dynamic analyzes of content would have been an obvious variation that is well known in the art. One would have been motivated to implement dynamic analyzes especially in light of the benefits of these analyzes as evidenced by their commercial success.

14. As per newly added limitations, Browseaccelerator does not disclose different weighted point value for the criteria.

However, assigning weights to criteria is well known in the art of computer security as well as the art of statistics (e.g. USPN 6304975, USPN 6272641, USPUB 20020133721 etc.) and it would have been obvious to one of ordinary skill in the art

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at the time of applicant's invention to assign different weighted point values for the criteria given the benefit of more accurate and custom analysis.

- 15. Additionally, using another interpretation of the newly introduced limitations (different weighted point value: one value is higher or lower than other value), the examiner points out that Browseaccelerator invention evaluates a plurality of sites (e.g. more than 1000); thus it is unreasonable to expect that the same criteria for each site would have the same weighted point value; thus the limitation "at least one of the criteria has a different weighted point value from another of the criteria" is at least implicit.
- 16. The ranking taught by Browseaccelerator in "7FaSST Search? facts" section is equivalent to trust score and utilizing weighted point value for the criteria, as discussed above, would clearly be used in creating the trust score.
- 17. As per claims 14-16, 30-31 and 44-45 Browseaccelerator discloses at least a portion of the content in an Internet browser and an independent of the Internet site Internet browser add-on displaying the analytical result within a tool bar incorporated into an Internet browser ("The Time Saver!" section).
- 18. As per claims 6-8, 12, 21-23, 27, 35-37 and 41 Browseaccelerator discloses searching the content email, phone number and postal address and determining a traffic ranking of the site ("The Time Saver!", "What it does" sections, etc.).
- 19. As per claims 4, 34, Browseaccelerator also does not explicitly disclose awarding numerical points for each criterion that the Internet site complies with. However, the limitation if not inherent is at least implicit. Computers work with numerical values

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and evaluating whether a condition is met must involve assigning values numerical points to which objects to be searched must be compared to.

Furthermore, even if (somehow) computers implementing Browseaccelearator's invention would be configured to conduct evaluation without awarding numerical points for each evaluated criterion, awarding numerical points for each evaluated criterion is well known in the art of data analysis (see USPUB 2001/0056396, 2006/0265230 etc.), and it would have been obvious to one of ordinary skill in the art at the time of applicant's invention to award numerical points for each evaluated criterion given the benefit of achieving the most appropriate/fitting/accurate results.

- 20. Note, that even though claim 17 is addressed as equivalent to claims 2 and 32 (that are addressed later in this Office Action), it would also be appropriate to consider the limitations of claim 17 to be equivalent to the limitations of claim 4. Furthermore, as per claim 29, computing devices inherently comprise means for summing data/values.
- 21. Although, as per claim 46, Browseaccelerator does not explicitly disclose storing the analytical result in a database, storing results in databases the limitation is at least implicit, if not inherent. A set of data stored on a computer (computers need at least a file system to store computer configuration files) reads on a database. As a result by virtue of storing (even if temporarily) data (such as analytical result data) on a computer (in the computer file system) reads on storing the data in a database. However, even if it one was to disregard the idea of the set of the data stored on the computer to be a database, storing data, such as analytical results, in a database is

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well know in the art of computing (e.g. Internet Browser cache, Windows registry etc.) and it would have been obvious to one of ordinary skill in the art at the time of applicant's invention to store data, such as analytical results, given the benefit of speed and efficiency of data storage, access and retrieval.

- 22. As per claim 49, Browseaccelerator discloses presenting search results based on a predetermined analytical result, which reads on excluding the Internet sites that do not meet the predetermined analytical result.
  - However, even if Browseaccelerator teaching would not read on excluding the results, such as Internet sites, that do not meet the predetermined analytical result, disclosing the result that would include only meet a predetermine analytical result is well known in the art of computing (e.g. search engine results), and it would have been obvious to one of ordinary skill in the art at the time of applicant's invention to implement such an exclusion given the benefit of efficiency and accuracy.
- 23. As per claims 2-3, 17, 20 and 32-33, Browseaccelerator does not disclose the details on types of presentation of data (representation of analytical results) to a user. In particular, Browseaccelerator does not disclose a numerical representation or a scaled gauge representation.

However, presenting data to users using a numerical representation or a scaled gauge representation is well known in the art of computing as well as in the art of data analysis (refer to Microsoft Excel, USPUB 2003/0071814 or 2002/0013941 for example), and it would have been obvious to one of ordinary skill in the art at the

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time of applicant's invention to provide a user with numerical or a scaled gauge representation given the benefit of usability.

- 24. As per claims 4-5, 17, 29 and 34, the step of awarding numerical points to each criterion has been addressed above. However, the examiner would like to point out that in addition to the inherent assigning of values to search/evaluation criterion in computing (due to the computing devices operating on numbers), an ordinary artisan in the art of searching/evaluating/analysis would readily recognize that it is well known to award numerical points to each criterion based upon an influence that each criterion has on the anticipated result of search/analysis (see USPUB 2002/0104014, 200/0174081, 2002/0004757 etc.), and it would have been obvious to one of ordinary skill in the art at the time of applicant's invention to award numerical points to each criterion based upon an influence that each criterion has on the anticipated result given the benefit of providing more relevant results.
- 25. As per claims 9-11, 24-26, 38-40, although Browseaccelerator discloses determining a trustworthiness of an Internet, Browseaccelerator does not mention determining the Internet site supports for secure Internet transactions, presence of a verified authentication certificate or a privacy statement.

However, an ordinary artisan in the art of computer security would readily recognize that secure transaction support (e.g. SSL), digital certificates (e.g. X.509 certificates) and privacy statements (either in the certificates or explicitly disclosed as a digital content) are tools increasing confidence in an Internet site security/privacy (secure transaction support ensures that the communication with the site is confidential, e.g.

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encrypted; digital certificate increase confidence that the site is genuine; privacy statement increases confidence that data is going to be kept private), and it would have been obvious to one of ordinary skill in the art at the time of applicant's invention to include determining these security/privacy features given the benefit of providing a more comprehensive trustworthiness information on reported Internet sites. (For applicant's quick reference, the examiner includes additional references: Sun, NASA, WTOP).

26. As per claims 13, 28 and 42, the digital certificate statement reads on validation of a site by an independent third party (see O'Reilly's "Web Security & Commerce", pg. 142-147, for example).

### Conclusion

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure:

Pirolli (USPN 5835905),

Hegli (USPN 6606659),

Manahan (USPUB 2002/0124172).

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Peter Poltorak whose telephone number is (571) 272-3840. The examiner can normally be reached Monday through Thursday from 9:00 a.m. to 4:00 p.m. and alternate Fridays from 9:00 a.m. to 3:30 p.m.

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If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Kambiz Zand can be reached on (571) 272-3811. The fax phone number for the organization where this application or proceeding is assigned is (571) 273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

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